

M.M

Secretary

Practitioner's Docket No. 70868/56773  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: M. Nishikaua  
Application No.: 10/004,641      Group No.      Not Yet Assigned  
Filed: December 5, 2001      Examiner:      Not Yet Assigned  
For: OPTICAL PICKUP APPARATUS

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**Box Missing Parts**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION**

**I.** This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed on 02 January 2002.

A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

**II.** No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: February 4, 2002

Signature

Kathryn A. Grindrod  
Kathryn A. Grindrod



### COMPLETION FEES

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#### III.

##### 1. Surcharge Fee

Late payment of filing fee \$  
and/or late filing of original  
declaration or oath  
(37 C.F.R. 1.16(e))

\$130.00.

Total completion fees      \$130.00.

### EXTENSION OF TIME

IV. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### TOTAL FEE DUE

#### V. The total fee due is:

Completion fees	<u>\$130.00.</u>
Extension fee	None

Total Fee Due \$130.00.

### PAYMENT OF FEES

VI. Enclosed is a check in the amount of \$130.00.



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# AUTHORIZATION TO CHARGE ADDITIONAL FEES

**VII.** The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 04-1105.

☒ 37 C.F.R. 1.16(a), (f) or (g) (filing fees)

☒ 37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

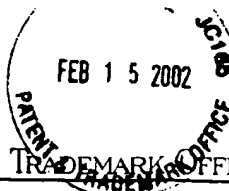
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/004,641	12/05/2001	Masayuki Nishikawa	70868/56773

CONFIRMATION NO. 6791

## FORMALITIES LETTER



\*OC000000007249690\*

Dike, Bronstein, Roberts & Cushman  
Intellectual Property Practice Group  
Edwards & Angell, LLP  
P.O. Box 9169  
Boston, MA 02209

Date Mailed: 01/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/21/2002 MWOLDR1 00000054 10004641

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